

JC10 Rec'd/PCT/PTO 22 JUN 2001

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NUMBER <b>IN-5502</b>	U.S. APPLICATION NO. (IF KNOWN SEE 37 CFR 15) <b>09/869025</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/EP99/10242</b>	INTERNATIONAL FILING DATE <b>21 December 1999 (21.12.99)</b>	PRIORITY DATE CLAIMED <b>24 December 1998 (24.12.98)</b>

TITLE OF INVENTION: **MULTILAYER SYSTEMS WITH AT LEAST ONE LAYER BASED ON MESONORPHIC POLYELECTROLYTE COMPLEXES, METHOD FOR PRODUCING THEM AND THEIR USE**

APPLICATION(S) FOR DO/EO/US: **Beate PODLASKI-PYZIK, Astrid HALTMANN, Wolfgang BREMSER**

**Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

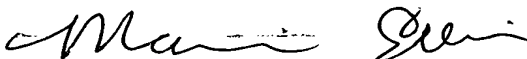
1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ have been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(C)(2)).
7. ☒ Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annex to the International Preliminary Examination Report under PCT Article 36

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A Change of power of attorney and/or address letter.
16. ☒ Other items or information:

**A copy of the cover sheet from the PCT Published Application**  
**A copy of the priority Document cover sheet**

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. **EF325208543US** addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on June 22, 2001.

  
Marjorie Ellis

U.S. APPLICATION NO. (if known see 37 C.F.R. 1.50) <b>09/869025</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP99/10242</b>		ATTORNEY'S DOCKET NUMBER <b>IN-5502</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted				<b>CALCULATIONS</b>   PTO USE ONLY	
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... <b>\$970.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... <b>\$860.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.44(a)(2)) paid to USPTO..... <b>\$690.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... <b>\$670.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$ 96.00</b>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$860.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$130.00</b>	
Claims	Number Filed	Number Extra	Rate		
Total Claims	42 - 20 =	22	X \$18.00	<b>\$396.00</b>	
Independent claims	04 - 03 =	01	X \$80.00	<b>\$ 80.00</b>	
Multiple dependent claims(s) (if applicable)			+ \$270.00	<b>\$270.00</b>	
<b>TOTAL OF ABOVE CALCULATION =</b>				<b>\$</b>	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				<b>\$</b>	
<b>SUBTOTAL =</b>				<b>\$</b>	
Processing fee of \$130.00 for furnishing the English translation later the [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property</b> +				<b>\$</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$</b>	
				Amount to be: refunded	<b>\$</b>
				Charged	<b>\$1,736.00</b>

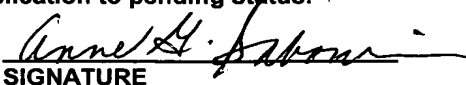
a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 23-3425 in the amount of \$1,736.00 to cover the above fees  
A triplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3425. A triplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**SEND ALL CORRESPONDENCE TO:**  
**BASF CORPORATION**  
 Patent Department  
 26701 Telegraph Road  
 Southfield, Michigan 48034-2442  
 (248) 948-2021

  
**SIGNATURE**  
Ann Gerry Sabourin  
 Name  
33,772  
**REGISTRATION NUMBER**

PATENT

(Practitioner's Docket No. IN-5502)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

PODLASKI-PYZIK Beate et al.

US Serial No. Herewith

Filed: June 22, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: **MULTILAYER SYSTEMS WITH AT LEAST ONE LAYER BASED ON MESONORPHIC POLYELECTROLYTE COMPLEXES, METHOD FOR PRODUCING THEM AND THEIR USE**

Commissioner of Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **EF325208543US** Date of Deposit **June 22, 2001**

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☐ English Application PCT/EP 99/10242  
Specification on ☐ pages; claims on ☐ pages;  
the abstract on ☐ page(s); drawings on ☐ page(s).

☐ German Application PCT/EP 99/10242  
Specification on ☐ pages; claims on ☐ pages;  
the abstract on ☐ page(s); drawings on ☐ page(s).

☐ Cover page of Priority Document ☐ Page(s)

☐ Cover page of Published PCT-application ☐ Page(s)

☒ Information Disclosure Statement  
☒ From PTO-1449  
☒ Cited References  
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Marjorie Ellis

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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Specification on  pages; claims on  pages;  
the abstract on  page(s); drawings on  page(s).

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☐ **Information Disclosure Statement**  
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Specification on ☐ 35 pages; claims on ☐ 07 pages;  
the abstract on ☐ 01 page(s); drawings on ☐ page(s).

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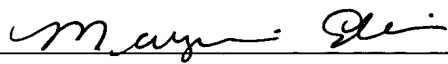
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